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**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
**(Under 37 CFR 1.97(b) or 1.97(c))**

Docket No.  
11072.9

In Re Application Of:

Jason A. Sullivan

| Application No. | Filing Date      | Examiner       | Customer No. | Group Art Unit | Confirmation No. |
|-----------------|------------------|----------------|--------------|----------------|------------------|
| 10/691,114      | October 22, 2003 | To be assigned | 21999        | 2183           | 5337             |

Title:

SYSTEMS AND METHODS FOR PROVIDING A DYNAMICALLY MODULAR PROCESSING UNIT



Address to:

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**37 CFR 1.97(b)**

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

**37 CFR 1.97(c)**

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:
- ☐ the statement specified in 37 CFR 1.97(e);
- OR**
- ☐ the fee set forth in 37 CFR 1.17(p).

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SYSTEMS AND METHODS FOR PROVIDING A DYNAMICALLY MODULAR PROCESSING UNIT

**Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☒ The Director is hereby authorized to charge and credit Deposit Account No. **500843** as described below.
- ☐ Charge the amount of \_\_\_\_\_
- ☒ Credit any overpayment.
- ☒ Charge any additional fee required.

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I certify that this document and fee is being deposited on September 7, 2004 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Berne S. Broadbent

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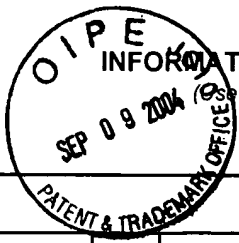
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Dated: September 7, 2004

Berne S. Broadbent  
Attorney for Applicant  
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## INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)

ATTY DOCKET NO.

11072,9

APPLICATION NO.

10/691,114

APPLICANT(S)

Jason A. Sullivan

FILING DATE

October 22, 2003

GROUP ART UNIT

2183

## U.S. PATENT DOCUMENTS

| *EXAMINER<br>INITIAL | DOCUMENT NUMBER | DATE       | NAME                | CLASS | SUBCLASS | FILING DATE<br>IF APPROPRIATE |
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|                      | 3,787,818       | 01/22/1974 | Arnold et al.       | 340   | 172.5    | 06/22/1972                    |
|                      | 4,814,970       | 03/21/1989 | Barbagelata et al.  | 364   | 200      | 12/08/1986                    |
|                      | 4,816,993       | 03/28/1989 | Takahashi et al.    | 364   | 200      | 12/18/1985                    |
|                      | 4,876,644       | 10/24/1989 | Nuechterlein et al. | 364   | 200      | 03/28/1989                    |
|                      | 5,136,718       | 08/04/1992 | Haydt               | 395   | 800      | 08/06/1990                    |

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|                      | 2002/0097555 A1 | 07/25/2002 | Smith et al.     | 361   | 683      | 01/19/2001                    |
|                      | 2003/0005073 A1 | 01/02/2003 | Yoshizawa et al. | 709   | 213      | 09/05/2002                    |
|                      | 2003/0090878 A1 | 05/15/2003 | Merkin           | 361   | 724      | 11/09/2001                    |
|                      | 2003/0156383 A1 | 08/21/2003 | Jenkins et al.   | 361   | 683      | 02/26/2003                    |

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## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

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|------------------------------------------------------------------------------------|-----------------------------------|--------------------------------------|
| <b>INFORMATION DISCLOSURE CITATION</b><br><i>(Use several sheets if necessary)</i> | <b>ATTY DOCKET NO.</b><br>11072.9 | <b>APPLICATION NO.</b><br>10/691,114 |
|                                                                                    | <b>Jason A. Sullivan</b>          |                                      |
|                                                                                    | <b>FILING</b><br>October 22, 2003 | <b>GROUP ART</b><br>2183             |

**U.S. PATENT DOCUMENTS**

| *EXAMINER<br>INITIAL |  | DOCUMENT NUMBER | DATE       | NAME               | CLASS | SUBCLASS | FILING DATE<br>IF APPROPRIATE |
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|                      |  | 5,227,957       | 07/13/1993 | Deters             | 361   | 395      | 05/14/1992                    |
|                      |  | 5,311,397       | 05/10/1994 | Harshberger et al. | 361   | 683      | 08/06/1992                    |
|                      |  | 5,377,356       | 12/27/1994 | Molyneaux          | 395   | 800      | 04/18/1991                    |
|                      |  | 5,504,918       | 04/02/1996 | Collette et al.    | 395   | 800      | 07/30/1992                    |
|                      |  | 5,671,430       | 09/23/1997 | Gunzinger          | 395   | 800      | 06/24/1994                    |

**U.S. PATENT APPLICATION PUBLICATIONS**

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**FOREIGN PATENT DOCUMENTS**

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**OTHER DOCUMENTS** *(Including Author, Title, Date, Pertinent Pages, Etc.)*

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| <b>INFORMATION DISCLOSURE CITATION</b><br><i>(Use several sheets if necessary)</i>                                                                                                                                                        |  |                 |            | ATTY DOCKET NO.<br><b>11072.9</b> |       | APPLICATION NO.<br><b>10/691,114</b> |                               |    |
|                                                                                                                                                                                                                                           |  |                 |            | Jason A. Sullivan                 |       |                                      |                               |    |
|                                                                                                                                                                                                                                           |  |                 |            | FILING<br><b>October 22, 2003</b> |       | GROUP ART<br><b>2183</b>             |                               |    |
| <b>U.S. PATENT DOCUMENTS</b>                                                                                                                                                                                                              |  |                 |            |                                   |       |                                      |                               |    |
| *EXAMINER<br>INITIAL                                                                                                                                                                                                                      |  | DOCUMENT NUMBER | DATE       | NAME                              | CLASS | SUBCLASS                             | FILING DATE<br>IF APPROPRIATE |    |
|                                                                                                                                                                                                                                           |  | 5,696,983       | 12/09/1997 | Watanabe et al.                   | 395   | 800                                  | 05/23/1995                    |    |
|                                                                                                                                                                                                                                           |  | 5,761,033       | 06/02/1998 | Wilhelm                           | 361   | 686                                  | 09/09/1996                    |    |
|                                                                                                                                                                                                                                           |  | 5,909,357       | 06/01/1999 | Orr                               | 361   | 687                                  | 04/24/1997                    |    |
|                                                                                                                                                                                                                                           |  | 5,938,757       | 08/17/1999 | Bertsch                           | 712   | 36                                   | 10/17/1997                    |    |
|                                                                                                                                                                                                                                           |  | 5,948,087       | 09/07/1999 | Khan et al.                       | 710   | 102                                  | 12/16/1996                    |    |
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| *EXAMINER<br>INITIAL                                                                                                                                                                                                                      |  | DOCUMENT NUMBER | DATE       | NAME                              | CLASS | SUBCLASS                             | FILING DATE<br>IF APPROPRIATE |    |
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| <b>FOREIGN PATENT DOCUMENTS</b>                                                                                                                                                                                                           |  |                 |            |                                   |       |                                      |                               |    |
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| <b>OTHER DOCUMENTS</b> <i>(Including Author, Title, Date, Pertinent Pages, Etc.)</i>                                                                                                                                                      |  |                 |            |                                   |       |                                      |                               |    |
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| <b>U.S. PATENT DOCUMENTS</b>                                                                                                                                                                                                              |  |                 |            |                                   |       |                                      |                               |
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|                                                                                                                                                                                                                                           |  | 5,999,952       | 12/07/1999 | Jenkins et al.                    | 708   | 100                                  | 01/20/1998                    |
|                                                                                                                                                                                                                                           |  | 6,021,453       | 02/01/2000 | Klingman                          | 710   | 129                                  | 09/09/1997                    |
|                                                                                                                                                                                                                                           |  | 6,035,356       | 03/07/2000 | Khan et al.                       | 710   | 101                                  | 04/21/1999                    |
|                                                                                                                                                                                                                                           |  | 6,157,533       | 12/05/2000 | Sallam et al.                     | 361   | 683                                  | 04/19/1999                    |
|                                                                                                                                                                                                                                           |  | 6,275,922 B1    | 08/14/2001 | Bertsch                           | 712   | 36                                   | 07/07/1999                    |
| <b>U.S. PATENT APPLICATION PUBLICATIONS</b>                                                                                                                                                                                               |  |                 |            |                                   |       |                                      |                               |
| *EXAMINER<br>INITIAL                                                                                                                                                                                                                      |  | DOCUMENT NUMBER | DATE       | NAME                              | CLASS | SUBCLASS                             | FILING DATE<br>IF APPROPRIATE |
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| <b>FOREIGN PATENT DOCUMENTS</b>                                                                                                                                                                                                           |  |                 |            |                                   |       |                                      |                               |
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| <b>OTHER DOCUMENTS    (Including Author, Title, Date, Pertinent Pages, Etc.)</b>                                                                                                                                                          |  |                 |            |                                   |       |                                      |                               |
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| *EXAMINER<br>INITIAL  |  | DOCUMENT NUMBER | DATE       | NAME              | CLASS | SUBCLASS | FILING DATE<br>IF APPROPRIATE |
|                       |  | 6,446,192 B1    | 09/03/2002 | Narasimhan et al. | 712   | 29       | 06/04/1999                    |
|                       |  | 6,463,519 B1    | 10/08/2002 | Yoshida et al.    | 712   | 28       | 12/15/2000                    |
|                       |  | 6,467,009 B1    | 10/15/2002 | Winegarden et al. | 710   | 305      | 10/14/1998                    |
|                       |  | 6,490,157 B2    | 12/03/2002 | Unrein            | 361   | 687      | 09/01/1999                    |
|                       |  |                 |            |                   |       |          |                               |

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| OTHER DOCUMENTS <i>(Including Author, Title, Date, Pertinent Pages, Etc.)</i> |  |  |  |
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